

.. 04-11-01

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JC839 U.S. PTO  
04/10/01

JC978 U.S. PTO  
09/029760  
04/10/01

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**Law Offices:**  
Tulsa, Oklahoma  
Fayetteville, Arkansas  
Oklahoma City, Oklahoma

**Search Office:**  
Washington D.C.

April 10, 2001

Box Patent Application  
Commissioner of Patents & Trademarks  
Washington, D.C. 20231

Re: U.S. Patent Application for "SYSTEM FOR ENSURING DEPARTMENT OF  
TRANSPORTATION AND LOCAL DISTRIBUTION COMPANY  
COMPLIANCE IN THE JOINING OF POLYETHYLENE PIPES AND  
FITTINGS"  
Our File No. RUN600.00/00043A  
PTO Customer No. 24,118

Dear Sir:

Enclosed please find a conversion utility patent application on the above, and the following documents:

1. Declaration and Power of Attorney for David O. Chenault
2. Applicant does claim claim small entity status under 37 CFR 1.27.
3. One copy of drawings (7 sheets)
4. Form PTO-1449 and copies of cited references
5. Request and Certification to Not Publish
6. Fee Transmittal Form (in duplicate)

The application contains 18 claims with 3 independent claims.

Also enclosed is our check in the amount of \$355.00 to cover the filing fee. Please charge or credit any other fees during prosecution of this case to Deposit Account No. 08-1500. These documents are being mailed on this day via Express Mail No. EL197205719US.

Respectfully submitted,

*Paul H. Johnson*

Paul H. Johnson, Reg. No. 19,224  
ATTORNEY FOR APPLICANT

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 355

**Complete if Known**

Application Number	
Filing Date	04-10-01
First Named Inventor	Chenault
Examiner Name	
Group Art Unit	
Attorney Docket No.	RIIN600-00043a

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 08-1500
- Deposit Account Name: Head, Johnson & Kachigian
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 355.00

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

**SUBMITTED BY**

Name (Print/Type) Paul H. Johnson

Signature

Registration No. (Attorney/Agent)

19,224

**Complete (if applicable)**

Telephone 918-587-2000

Date April 10, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

YOUR COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Chenault )  
SERIAL NO: n/a )  
FILED: 04/10/01 )  
FOR: SYSTEM FOR ENSURING )  
DEPARTMENT OF TRANSPORTATION )  
AND LOCAL DISTRIBUTION COMPANY )  
COMPLIANCE IN THE JOINING OF )  
POLYETHYLENE PIPES AND FITTINGS )  
ART UNIT: n/a )  
EXAMINER: n/a )

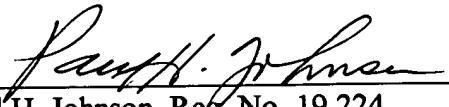
REQUEST AND CERTIFICATION

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

  
Paul H. Johnson, Reg. No. 19,224  
HEAD, JOHNSON & KACHIGIAN  
228 W. 17th Pl.  
Tulsa, OK 74119  
ATTORNEYS FOR APPLICANT

Date: April 10, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL197205719US addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on April 10, 2001.